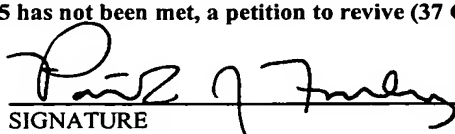


|  |  |  |
|--|--|--|
| FORM PTO-1390<br>U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE   |  | ATTORNEY DOCKET NUMBER<br><b>THOM-0019</b>                             |
| <b>TRANSMITTAL LETTER TO THE UNITED STATES<br/>         DESIGNATED/ELECTED OFFICE (DO/EO/US)<br/>         CONCERNING A FILING UNDER 35 U.S.C. 371</b>  |  | U.S. APPLICATION NO. (if known see 37 C.F.R. 1.5)<br><b>10,030,861</b> |
| INTERNATIONAL APPLICATION NO.<br><b>PCT/GB01/02101</b>   | INTERNATIONAL FILING DATE<br><b>14 MAY 2001 (14.05.2001)</b> | PRIORITY DATE CLAIMED<br><b>13 MAY 2000 (13.05.2000)</b>               |
| TITLE OF INVENTION <b>OPHTHALMIC LENS INJECTORS</b>  |  |  |
| APPLICANT(S) FOR DO/US <b>Terence Arnold WALDOCK</b>   |  |  |
| <p>Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:</p> <ol style="list-style-type: none"> <li>1.    <input type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>2.    <input checked="" type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>3.    <input type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).</li> <li>4.    <input type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</li> <li>5.    <input type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)).             <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</li> <li>b. <input type="checkbox"/> has been transmitted by the International Bureau</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US)</li> </ol> </li> <li>6.    <input type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</li> <li>7.    <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))             <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</li> <li>b. <input type="checkbox"/> have been transmitted by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li>8.    <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li>9.    <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) 35 U.S.C. 371(c)(4).</li> <li>10.    <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol> <p><b>Items 11. to 16. below concern other document(s) or information included:</b></p> <ol style="list-style-type: none"> <li>11.    <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li>12.    <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li>13.    <input type="checkbox"/> A FIRST preliminary amendment.<br/>             <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.</li> <li>14.    <input type="checkbox"/> A substitute specification.</li> <li>15.    <input type="checkbox"/> A change of power of attorney and/or address letter.</li> <li>16.    <input checked="" type="checkbox"/> Other items or information:             <ul style="list-style-type: none"> <li>- A copy of the Notification of Missing Requirements dated March 22, 2002.</li> <li>- Return postcard.</li> </ul> </li> </ol> |  |  |
| EXPRESS MAIL Mailing Label No. <b>EL 909207395 US</b><br>Date of Deposit: <b>21 May 2002</b><br><div style="border: 1px solid black; display: inline-block; padding: 5px; margin-top: 5px;"> <b>EL 909207395US</b> </div>  |  |  |

| U.S. APPLICATION NO. (if known 37 C.F.R. 1.5)<br>-10/030,861   |              | INTERNATIONAL APPLICATION NO.<br>PCT/GB01/02101 |            | ATTORNEY DOCKET NUMBER<br>THOM-0019  |          |                     |  |
|--|--------------|---|------------|--|----------|---------------------|--|
| <b>17. <u>X</u></b> The following fees are submitted:<br><b>Basic National Fee (37 CFR 1.492(a)(1) - (5)):</b><br>Neither international preliminary examination fee (37 CFR 1.482)<br>nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO<br>and International Search Report not prepared by the EPO or JPO.....\$1,040.00<br><br>International preliminary examination fee (37 CFR 1.482 not paid to USPTO<br>but International Search Report has been prepared by the EPO or JPO.....\$890.00<br><br>International preliminary examination fee (37 CFR 1.482) not paid to USPTO but<br>international search fee (37 CFR 1.445(a)(2)) paid to USPTO.....\$740.00<br><br>International preliminary examination fee paid to USPTO (37 CFR 1.482) but<br>all claims did not satisfy provisions of PCT Article 33(1)-(4).....\$710.00<br><br>International preliminary examination fee paid to USPTO (37 CFR 1.482) and<br>all claims satisfied provisions of PCT Article 33(1)-(4).....\$100.00<br><br><b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b> |              |   |            | <b>CALCULATIONS</b>  |          | <b>PTO USE ONLY</b> |  |
|  |              |   |            |  |          |                     |  |
|  |              |   |            |  |          |                     |  |
|  |              |   |            |  |          |                     |  |
|  |              |   |            |  |          |                     |  |
| Surcharge of \$130.00 for furnishing the oath or declaration later that <u>20</u> <u>X</u> 30 months from<br>the earliest claimed priority date (37 CFR 1.492(e)).   |              |   |            | \$130.00   |          |                     |  |
| Claims   | Number Filed | Number Extra                                    | Rate       |  |          |                     |  |
| Total claims   | 21- 20 =     | 1   | X \$18.00  | \$ 18.00   |          |                     |  |
| Independent Claims   | 1- 3 =       | 0   | x \$84.00  | \$   |          |                     |  |
| Multiple dependent claims(s) (if applicable)   |              |   | + \$280.00 | \$280.00   |          |                     |  |
| <b>TOTAL OF ABOVE CALCULATIONS =</b>   |              |   |            | <b>\$428.00</b>  |          |                     |  |
| <u>X</u> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are<br>reduced by 1/2.  |              |   |            | \$   |          |                     |  |
| <b>SUBTOTAL =</b>  |              |   |            | <b>\$214.00</b>  |          |                     |  |
| Processing fee of \$130.00 for furnishing the English translation later the <u>20</u> <u>30</u> months<br>from the earliest claimed priority date (37 CFR 1.492(f)).   |              |   |            | +  | \$       |                     |  |
| <b>TOTAL NATIONAL FEE =</b>  |              |   |            | <b>\$214.00</b>  |          |                     |  |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be<br>accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property  |              |   |            | +  | \$ 40.00 |                     |  |
| <b>TOTAL FEES ENCLOSED =</b>   |              |   |            | <b>\$254.00</b>  |          |                     |  |
| FC:254 65.00 OP<br>FC:967 9.00 OP<br>FC:969 140.00 OP  |              |   |            | <b>Amount to be:<br/>refunded</b>  | \$       |                     |  |
|  |              |   |            | <b>charged</b>   | \$       |                     |  |
| a. <u>X</u> A check in the amount of \$ <u>254.00</u> to cover the above fee is enclosed.  |              |   |            |  |          |                     |  |
| b. <u>  </u> Please charge my Deposit Account No. 23-3050 in the amount of \$ <u>          </u> to cover the above fees. A duplicate copy of this sheet is enclosed.   |              |   |            |  |          |                     |  |
| c. <u>X</u> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account<br>No. 23-3050. A duplicate copy of this sheet is enclosed.  |              |   |            |  |          |                     |  |
| <b>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must<br/>be filed and granted to restore the application to pending status.</b>   |              |   |            |  |          |                     |  |
| SEND ALL CORRESPONDENCE TO:<br>John W. Caldwell<br>Woodcock Washburn LLP<br>One Liberty Place - 46th Floor<br>Philadelphia, PA 19103<br>(215) 568-3100   |              |   |            |  |          |                     |  |
|  |              |   |            | <br>SIGNATURE<br>Patrick J. Farley<br>NAME |          |                     |  |
|  |              |   |            | 42,524<br>REGISTRATION NUMBER  |          |                     |  |



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box P-1  
 United States Patent and Trademark Office  
 Washington, D.C. 20231  
 www.uspto.gov

|                               |                        |                  |
|-------------------------------|------------------------|------------------|
| U.S. APPLICATION NUMBER NO.   | FIRST NAMED APPLICANT  | ATTY. DOCKET NO. |
| 10/030,861                    | Terence Arnold Waldock | THOM-0019        |
| INTERNATIONAL APPLICATION NO. |                        |                  |
| PCT/GB01/02101                |                        |                  |
| I.A. FILING DATE              | PRIORITY DATE          |                  |
| 05/14/2001                    | 05/13/2000             |                  |

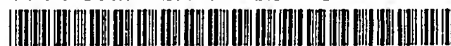
John W. Caldwell  
 Woodcock Washburn  
 One Liberty Place - 46th Floor  
 Philadelphia, PA 19103

RECEIVED

APR 02 2002

CONFIRMATION NO. 8806

371 FORMALITIES LETTER



\*OC000000007685947\*

Date Mailed: 03/22/2002

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated Office (37 CFR 1.494):

- U.S. Basic National Fees
- Indication of Small Entity Status
- Priority Document
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Request for Immediate Examination
- Small Entity Statement

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$65 Surcharge for providing the oath or declaration later than the appropriate 20 months from the priority date (37 CFR 1.492(e)) is required.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Additionally the following defects have been observed:

- Additional claim fees of **\$149** as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

**SUMMARY OF FEES DUE:**

Total additional fees required for this application is **\$214** for a Small Entity:

- **\$65** Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is **\$149**
  - **\$9** for 1 total claims over 20.
  - **\$140** for multiple dependant claims surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

VONDA M WALLACE

Telephone: (703) 305-3736

**PART 1 - ATTORNEY/APPLICANT COPY**

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY. DOCKET NO. |
|-----------------------------|-------------------------------|------------------|
| 10/030,861                  | PCT/GB01/02101                | THOM-0019        |